

# SPARK ENERGY, INC. Reported by LANE ROBERT LAWRENCE

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 12/15/17 for the Period Ending 12/14/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					Spark Energy, Inc. [ SPKE ]						Director	,	10	0/ 0		
(Last)	(First)	) (Mid	ldle)	3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							Director10% Owner  X Officer (give title below) Other (specify below)				fv below)
12140 WICKCHESTER LANE, SUITE				E	12/14/2017							Vice Presider			(-P	, ,
	(Stre	et)		4. I	f An	nendmen	t, Date (	Origin	al Fi	led (MM/I	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
		7	Γable I - N	on-Der	ivati	ve Secui	rities Ac	equire	ed, D	isposed (	of, or Ben	eficially Own	ed			
1.Title of Security (Instr. 3)  2. Trans. I				Date 2A. Deemed Execution Date, if any Code			ode V	or Dis	sposed of (I . 3, 4 and 5) (A) o	Fo (Ir		ant of Securities Beneficially Owned ng Reported Transaction(s) and 4)			7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Tabl	le II - Deriv	ative Seco	ırities E	Bene	ficially (	Owned (	e.g. ,	, puts	s, calls, w	varrants, o	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date E	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Securities U Derivative S (Instr. 3 and	nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	(1) (2)	12/14/2017		A		655 (3)		Ĺ	2)	(2)	Class A Common Stock	655.0	\$12.90	47234 (4)	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (3) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Ivanie / Address	Director	10% Owner	Officer	Other			
LANE ROBERT LAWRENCE							
12140 WICKCHESTER LANE			Vice President and CFO				
SUITE 100			vice i resident and ero				
HOUSTON, TX 77079							

#### **Signatures**

By Gil Melman, attorney-in-fact for Robert Lane	12/15/2017
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.