

# SPARK ENERGY, INC. Reported by MELMAN GIL

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 12/15/15 for the Period Ending 12/14/15

- Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE SIC Code 4931 - Electric and Other Services Combined Industry Electric Utilities
- Sector Utilities
- Fiscal Year 12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
Melman Gil	Spark Energy, Inc. [ SPKE ]	
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	Director 10% Owner
12140 WICKCHESTER LANE SUITE 100	12/14/2015	X Officer (give title below) Other (specify below) Vice President General Counsel
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)
HOUSTON, TX 77079 (City) (State) (Zip)		<b>X</b> Form filed by One Reporting Person Form filed by More than One Reporting Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security	2. Trans. Date	2A. Deemed	3. Trans. Cod	le	4. Securit	ies Acquir	ed (A)	5. Amount of Securities Beneficially Owned	6.	7. Nature
(Instr. 3)		Execution	(Instr. 8)		or Dispos	ed of (D)		Following Reported Transaction(s)	Ownership	of Indirect
		Date, if any			(Instr. 3, 4	4 and 5)		(Instr. 3 and 4)	Form:	Beneficial
									Direct (D)	Ownership
									or Indirect	(Instr. 4)
						(A) or			(I) (Instr.	
			Code	V	Amount	(D)	Price		4)	

## Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	Conversion or Exercise	3. Trans. Date	3A. Deemed Execution Date, if any	Code		5. Number of Derivative Securities Acc	juired	6. Date Exer Expiration I	Date	7. Title and An Securities Und Derivative Sec	erlying urity	Derivative Security	Securities	Ownership Form of	Beneficial
	Price of Derivative Security					(A) or Dispose (D) (Instr. 3, 4 and				(Instr. 3 and 4)			Beneficially Owned Following	Security: Direct (D)	Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1) (2)</u>	12/14/2015		Α		540 <u>(3)</u>		<u>(2)</u>	<u>(2)</u>	Class A Common Stock	540.0	\$18.06	27451 <sup>(4)</sup>	D	

## **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent payment relates.
- These RSUs accrued on outstanding RSUs held by reporting person as a result of a dividend equivalent payment made to the holder when the Company paid (3) its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Melman Gil 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079			Vice President General Counsel					

## Signatures

/s/ Gil Melman	12/15/20
** Signature of Reporting Person	Date

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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