

## SPARK ENERGY, INC. Filed by MAN GROUP PLC

# FORM SC 13G (Statement of Ownership)

### Filed 06/26/15

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

> CIK 0001606268

Symbol **SPKE** 

SIC Code 4931 - Electric and Other Services Combined

Industry **Electric Utilities** 

**Utilities** Sector

Fiscal Year 12/31

### SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No)*
Spark Energy, Inc.
(Name of Issuer)
Class A Common Stock, par value \$0.01 per share
(Title of Class of Securities)
846511103
(CUSIP Number)
June 19, 2015
(Date of Event Which Requires Filing of This Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

□ Rule 13d-1(b)

**R**ule 13d-1(c)

□ Rule 13d-1(d)

(Page 1 of 8 Pages)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

1	NAME OF REPORTING PERSON Numeric Investors LLC		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a)  (b)  (b)		
3	SEC USE ONLY		
4	CITIZENSHIP OR PLACE OF ORGANIZATION State of Delaware		
NUMBER OF	5	SOLE VOTING POWER 0	
SHARES BENEFICIALLY	6	SHARED VOTING POWER 165,186 shares of Class A Common Stock	
OWNED BY EACH REPORTING	7	SOLE DISPOSITIVE POWER 0	
PERSON WITH:	8	SHARED DISPOSITIVE POWER 165,186 shares of Class A Common Stock	
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 165,186 shares of Class A Common Stock		
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES		
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 5.33%		
12	TYPE OF REPORTING PERSON OO		

	NAME OF BERO	DEDICAL DEDICAL	
1	NAME OF REPORTING PERSON  Man Group plc		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a)  (b)  (b)		
3	SEC USE ONLY		
4	CITIZENSHIP OR PLACE OF ORGANIZATION United Kingdom		
NUMBER OF	5	SOLE VOTING POWER 0	
SHARES BENEFICIALLY	6	SHARED VOTING POWER 165,186 shares of Class A Common Stock	
OWNED BY EACH REPORTING	7	SOLE DISPOSITIVE POWER 0	
PERSON WITH:	8	SHARED DISPOSITIVE POWER 165,186 shares of Class A Common Stock	
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 165,186 shares of Class A Common Stock		
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES		
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 5.33%		
12	TYPE OF REPORTING PERSON OO, HC		

#### Item 1(a). NAME OF ISSUER:

The name of the issuer is Spark Energy, Inc., a Delaware corporation (the "Company").

#### Item 1(b). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

The Company's principal executive offices are located at 2105 CityWest Blvd., Suite 100, Houston, Texas 77042.

#### Item 2(a). NAME OF PERSON FILING:

This statement is filed by the entities and persons listed below, who are collectively referred to herein as the "Reporting Persons" with respect to the Class A Common Stock (as defined in Item 2(d) below):

#### **Investment Manager:**

(i) Numeric Investors LLC (the "<u>Investment Manager</u>"), with respect to the Class A Common Stock held by certain funds and/or managed accounts to which the Investment Manager serves as investment manager (collectively, the "<u>Numeric Funds</u>").

#### Parent Company:

(ii) Man Group plc (the "<u>Parent Company</u>"), which indirectly, through various intermediate entities, controls the Investment Manager, with respect to the Class A Common Stock held by each of the Numeric Funds.

### Item 2(b). ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE: CITIZENSHIP:

This statement is filed by:

- (i) Numeric Investors LLC 470 Atlantic Avenue,6th Floor Boston, MA 02210 Citizenship: State of Delaware
- (ii) Man Group Plc
  Riverbank House
  2 Swan Lane
  London EC4R 3AD
  United Kingdom
  Citizenship: United Kingdom

#### Item 2(d). TITLE OF CLASS OF SECURITIES:

Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock").

Item 2(e).	CUSIP NUMBER:

846511103

#### Item 3. IF THIS STATEMENT IS FILED PURSUANT TO RULES 13d-1(b) OR 13d-2(b) OR (c), CHECK WHETHER THE PERSON FILING IS A:

(a)		Broker or dealer registered under Section 15 of the Act,		
(b)		Bank as defined in Section 3(a)(6) of the Act,		
(c)		Insurance Company as defined in Section 3(a)(19) of the Act,		
(d)		Investment Company registered under Section 8 of the Investment Company Act of 1940,		
(e)		An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);		
(f)		Employee Benefit Plan or Endowment Fund in accordance with Rule 13d-1(b)(1)(ii)(F),		
(g)		Parent Holding Company or control person in accordance with Rule 13d-1(b)(1)(ii)(G),		
(h)		Savings Association as defined in Section 3(b) of the Federal Deposit Insurance Act,		
(i)		A church plan that is excluded from the definition of an investment company under Section 3 (c)(14) of the Investment Company Act;		
(j)		A non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J);		
(k)		Group, in accordance with Rule 13d-1(b)(1)(ii)(K).		
If filing as a non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J), please specify the type of institution:				

#### Item 4. **OWNERSHIP**

The information required by Items 4(a) - (c) is set forth in Rows (5) - (11) of the cover page for each Reporting Person hereto and is incorporated herein by reference for each such Reporting Person. The percentage set forth in Row (11) of the cover page for each Reporting Person is based on the 3,097,173 shares of Class A Common Stock outstanding as of May 11, 2015, as reported in the Company's Quarterly Report on Form 10-Q for the quarterly period ended March 31, 2015 filed on May 14, 2015.

The Investment Manager, which serves as the investment manager to each of the Numeric Funds, may be deemed to be the beneficial owner of all of the Class A Common Stock owned by the Numeric Funds. The Parent Company, which indirectly, through various intermediate entities, controls the Investment Manager, may be deemed to be the beneficial owner of all the Class A Common Stock owned by the Numeric Funds. The Parent Company hereby disclaims any beneficial ownership of any such Class A Common Stock.

Item 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable.

Item 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable.

Item 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable.

Item 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

Item 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

Item 10. CERTIFICATION.

Each of the Reporting Persons hereby makes the following certification:

By signing below each Reporting Person certifies that, to the best of its knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

#### **SIGNATURES**

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

DATE: June 26, 2015

#### NUMERIC INVESTORS LLC

By: /s/ Dunyelle Rosen

Name: /s/ Dunyelle Rosen Title: Chief Compliance Officer

#### MAN GROUP PLC

By: /s/ Michelle Robyn Grew

Name: Michelle Robyn Grew

Title: Global Head of Legal and Compliance

#### **EXHIBIT I**

#### JOINT FILING AGREEMENT

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13G shall be filed on behalf of each of the undersigned without the necessity of filing additional joint filing agreements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning him or it contained herein and therein, but shall not be responsible for the completeness and accuracy of the information concerning the others, except to the extent that he or it knows or has reason to believe that such information is inaccurate.

DATED as of June 26, 2015

#### NUMERIC INVESTORS LLC

By: /s/ Dunyelle Rosen

Name: /s/ Dunyelle Rosen Title: Chief Compliance Officer

#### MAN GROUP PLC

By: /s/ Michelle Robyn Grew

Name: Michelle Robyn Grew

Title: Global Head of Legal and Compliance