## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

**CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d)** OF THE SECURITIES EXCHANGE ACT OF 1934 Date of Report (Date of earliest event reported): July 5, 2023

# Via Renewables, Inc.

(Exact Name of Registrant as Specified in its Charter)

001-36559

(Commission

File Number)

46-5453215

(IRS Employer Identification Number)

Delaware

(State or Other Jurisdiction

of Incorporation)

12140 Wickchester Ln, Suite 100 Houston, Texas 77079 (Address of principal executive offices)  (713) 600-2600				
Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:  Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)  Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)  Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))  Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))				
Securities registered pursuant to Section 12(b) of the Act:				
Title of each class	Trading Symbols(s)	Name of exchange on which registered		
Class A common stock, par value \$0.01 per share	VIA	The NASDAQ Global Select Market		
8.75% Series A Fixed-to-Floating Rate Cumulative Redeemable Perpetual Preferred Stock, par value \$0.01 per share	VIASP	The NASDAQ Global Select Market		
Indicate by check mark whether the registrant is an emergence of this chapter) or Rule 12b-2 of the Section 12b-2				
Emerging growth company □				
If an emerging growth company, indicate by check mar	k if the registrant has electer	ed not to use the extended transition period for		

complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\square$ 

# Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On July 5, 2023, Spark Energy, LLC, a subsidiary of Via Renewables, Inc. (the "Company"), and Good Counsel Group, LLC entered into Amendment No. 3 (the "Amendment") to that certain Engagement Letter Agreement dated August 27, 2020, as amended (the "Engagement Letter Agreement").

The Amendment amended the Engagement Letter Agreement, which outlined the provision of services by Barbara Clay as Acting General Counsel and Secretary of the Company and its affiliates, to specify that Ms. Clay will no longer serve as Acting General Counsel and Secretary, and will instead provide assistance on legal and regulatory matters and legal strategy on a part-time, as needed basis, for an hourly fee. Except as amended by the Amendment and otherwise described herein, the terms of the Engagement Letter Agreement remain in full force and effect. Accordingly, effective July 5, 2023, Ms. Clay no longer serves as an executive officer of the Company.

The foregoing description of the Amendment is qualified in its entirety by reference to the full text of the Amendment, which is filed as Exhibit 10.1 to this Current Report on Form 8-K and is incorporated herein by reference.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
10.1†	Amendment to Engagement Letter Agreement, dated July 5, 2023, by and between Good Counsel Group, LLC and Spark Energy, LLC
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

<sup>†</sup> Management contract, or compensatory plan or arrangement.

## **Exhibit Index**

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104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

<sup>†</sup> Management contract, or compensatory plan or arrangement.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: July 7, 2023

Via Renewables, Inc.

By: /s/ Mike Barajas

Name: Mike Barajas

Title: Chief Financial Officer

#### Good Counsel

July 5, 2023

Via Email: <u>pkonikowsi@viarenewables.com</u>

Mr. Paul Konikowski
Chief Operating Officer
Spark Energy, LLC
12140 Wickchester Lane, Suite 100
Houston, Texas 77079

Re: Amendment No. 3 to Good Counsel Consulting Agreement

Dear Mr. Konikowski:

SPARK ENERGY LLC

This Amendment is in reference to the Legal Engagement between Good Counsel Legal Services, LLC and Spark Energy, LLC dated August 27, 2020, as amended ("<u>Agreement</u>") and serves, for good and valuable consideration, to amend the following in the Agreement:

- 1. Effective on July 5, 2023, the compensation for Services will be \$350.00 per hour.
- 2. The second sentence of paragraph 1 will be changed from:

Barbara Clay, Esq. ("<u>Consultant</u>") will be fulfilling the role of Acting General Counsel and Secretary to Spark Energy and its retail energy affiliates, on a full-time basis, traveling to Houston as reasonably required (as described in this paragraph, the "<u>Services</u>")."

#### and amended to state:

"Barbara Clay, Esq. ("Consultant") will assist Client and its legal team and lawyers, on a parttime, as needed basis, on Company legal matters, regulatory matters and legal strategy (as described in this paragraph, the "Services")

COOD COUNSEL CROUP LLC

3. Except as specifically amended by this Amendment, all other provisions of the Agreement remain in full force and effect.

By the signatures below, the parties accept this Amendment.

STARK ENERGY ELEC	GOOD COUNSEL GROUT LEC
/s/ Paul Kanikowski	/s/ Barbara Clay
By: Paul Konikowski	By: Barbara Clay, Esq.
Title: Chief Operating Officer	Title: Managing Member